

Proposal Title: **MOKELUMNE AND COSUMNES RIVERS COORDINATION PROGRAM**

Applicant Name: San Joaquin Council of Governments

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Amount of funding requested: \$ 217,480 for 3 years

Indicate the Topic for which you are applying (check only one box):

- |   |   |
|---|---|
| <input type="checkbox"/> Fish Passage/Fish Screens              | <input type="checkbox"/> Introduced Species       |
| <input type="checkbox"/> Habitat Restoration                    | <input type="checkbox"/> Fish Management/Hatchery |
| <input checked="" type="checkbox"/> Local Watershed Stewardship | <input type="checkbox"/> Environmental Education  |
| <input type="checkbox"/> Water Quality                          |   |

Does the proposal address a specified Focused Action?            yes   X   no

What county or counties is the project located in? San Joaquin and Sacramento Counties

Indicate the geographic area of your proposal (check only one box):

- |  |   |
|--|---|
| <input type="checkbox"/> Sacramento River Mainstem                                 | <input checked="" type="checkbox"/> East Side Trib: <u>Cosumnes/Mokelumne</u>         |
| <input type="checkbox"/> Sacramento Trib: <u>                                </u>  | <input type="checkbox"/> Suisun Marsh and Bay   |
| <input type="checkbox"/> San Joaquin River Mainstem                                | <input type="checkbox"/> North Bay/South Bay: <u>                                </u> |
| <input type="checkbox"/> San Joaquin Trib: <u>                                </u> | <input type="checkbox"/> Landscape (entire Bay-Delta watershed)                       |
| <input type="checkbox"/> Delta: <u>                                </u>            | <input type="checkbox"/> Other: <u>                                </u>               |

Indicate the primary species which the proposal addresses (check all that apply):

- |  |  |
|--|--|
| <input type="checkbox"/> San Joaquin and East-side Delta tributaries fall-run chinook salmon                 |  |
| <input type="checkbox"/> Winter-run chinook salmon   | <input type="checkbox"/> Spring-run chinook salmon |
| <input type="checkbox"/> Late-fall run chinook salmon  | <input type="checkbox"/> Fall-run chinook salmon   |
| <input type="checkbox"/> Delta smelt   | <input type="checkbox"/> Longfin smelt             |
| <input type="checkbox"/> Splittail   | <input type="checkbox"/> Steelhead trout           |
| <input type="checkbox"/> Green sturgeon  | <input type="checkbox"/> Striped bass              |
| <input type="checkbox"/> Migratory birds   | <input type="checkbox"/> All chinook species       |
| <input checked="" type="checkbox"/> Other: <u>All species dependent on Cosumnes/Mokelumne Rivers Habitat</u> | <input type="checkbox"/> All anadromous salmonids  |

Specify the ERP strategic objective and target(s) that the project addresses. Include page numbers from January 1999 version of ERP Volume I and II:

Project addresses six key ecosystem restoration goals of the ERP Strategic Plan (p. 27)

Indicate the type of applicant (check only one box):

- |   |   |
|---|---|
| <input type="checkbox"/> State agency                         | <input type="checkbox"/> Federal agency |
| <input type="checkbox"/> Public/Non-profit joint venture      | <input type="checkbox"/> Non-profit     |
| <input checked="" type="checkbox"/> Local government/district | <input type="checkbox"/> Private party  |
| <input type="checkbox"/> University                           | <input type="checkbox"/> Other: _____   |

Indicate the type of project (check only one box):

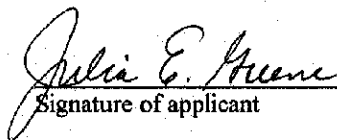
- |                                     |  |
|-------------------------------------|--|
| <input type="checkbox"/> Planning   | <input checked="" type="checkbox"/> Implementation |
| <input type="checkbox"/> Monitoring | <input type="checkbox"/> Education                 |
| <input type="checkbox"/> Research   |  |

By signing below, the applicant declares the following:

- 1.) The truthfulness of all representations in their proposal;
- 2.) The individual signing the form is entitled to submit the application on behalf of the applicant (if the applicant is an entity or organization); and
- 3.) The person submitting the application has read and understood the conflict of interest and confidentiality discussion in the PSP (Section 2.4) and waives any and all rights to privacy and confidentiality of the proposal on behalf of the applicant, to the extent as provided in the Section.

JULIA E. GREENE

Printed name of applicant



Signature of applicant

## **MOKELUMNE AND COSUMNES RIVERS COORDINATION PROGRAM**

**Joint Applicants:** Lower Cosumnes Resource Conservation District (RCD), Florin RCD,  
Sloughhouse RCD, and San Joaquin County Council of Governments (COG)

**Technical and Financial Contact Person:**

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E-mail: jgreene@co.san-joaquin.ca.us

**Participants/Collaborators in Implementation:**

City of Lodi  
The Nature Conservancy  
San Joaquin County RCD  
East Bay Municipal Utility District (EBMUD)  
U.C. Davis Center for Integrated Watershed Science and Management  
U.C. Davis Information Center for the Environment (ICE)  
Sacramento Area Flood Control Agency (SAFCA)  
Natural Resources Conservation Service, Sacramento County  
Woodbridge Irrigation District (WID)  
California Cattlemen's Association  
California Rangeland Trust  
Lodi-Woodbridge Winegrape Association  
U.S. Army Corps of Engineers, Sacramento District (Corps)

**Type of Organization:** Local Government/District, tax exempt

**Tax Identification No. and Contractor License No.:**

San Joaquin County Council of Governments:  
Federal and State Tax ID No. 68 035 2091

**Topic Area:** Local Watershed Stewardship

**April 16, 1999**

## EXECUTIVE SUMMARY

**Project Description.** The Mokelumne and Cosumnes Rivers Coordination Program (Coordination Program) will be created to support communication, partnership, and integration of the numerous ongoing and proposed projects in the East Delta Habitat Corridor, North Delta and southern Sacramento County. These projects include fish passage improvements, habitat restoration and preservation, alternative flood control, and watershed management. Several of these projects have received prior CALFED awards. The intent of this proposal is not to gain additional funding for these individual projects, but to provide a mechanism for coordination and integrated planning to ensure that restoration and other projects take advantage of synergies, in order to best achieve the overall goal of a healthier watershed. Ongoing or currently proposed projects and project sponsors identified by the proposal applicants and collaborators are listed in Table 1.

Each of the projects in Table 1, whether funded through CALFED or not, plays an important role in achieving and maintaining the objectives of the CALFED's Strategic Plan for Ecosystem Restoration (Strategic Plan). **However, through discussions with Sacramento RCD, COG, and several of the collaborators on this proposal, there is a shared concern that minimal organizational structure and financial means are in place to ensure collaboration, communication, and integration among these projects.** Sacramento RCD, COG, and other stakeholders are committed to enhancing CALFED efforts through stakeholder involvement in these watersheds.

The proposal applicants and collaborators consider it likely that given the list of projects in Table 1, overlap will occur regarding demands of time on stakeholders. These overlaps will occur because there is no existing program to coordinate all the critical dates, milestones, documents, and activities occurring under the previously listed projects. Furthermore, there is no existing program to ensure that these projects will remain consistent with the objectives of the Strategic Plan, and will integrate and share information and decisions, rather than inadvertently duplicating labor and funding.

The Coordination Program will function as a coordinator and information clearinghouse for the previously listed projects. **The Coordination Program is not intended to be another entity or layer of hierarchy.** The Coordination Program will manage a stakeholder database, distribute technical and nontechnical information, provide calendars of events (including environmental compliance activities) to all stakeholders, issue newsletters, manage a program web page, report to CALFED and other appropriate entities of watershed-related activities, and conduct other similar coordination activities.

The project area includes the Mokelumne River from the State Highway 49 crossing to the San Joaquin River (including the North and South Forks around Staten Island), the Cosumnes River from the State Highway 49 crossing to the Mokelumne River, and areas in the North Delta and along the Sacramento River upstream of the Cosumnes and Mokelumne Rivers.

**Primary Biological/Ecological Objectives.** Specific stressors attributed to the Cosumnes River include minimized floodplains through flood control measures, groundwater depletion and subsequent incision of the river, separation from the floodplain, and minimization of spawning gravel (ERPP, Vol. II, pp. 358-360). Specific stressors attributed to the Mokelumne River include altered instream flows, altered water temperatures, reduced sediment transport, minimized floodplains through flood control

measures, and restriction of fish passage (ERPP, Vol. II, pp. 358, 360-363). The proposal applicants and collaborators believe that the projects listed in Table 1, and this proposed Coordination Program support the vision, the achievement of targets, and the implementation of programmatic actions presented in Volume II of the ERPP, pp. 365-383.

**Cost.** Proposed costs for the Coordination Program have been broken down by year for 3 years: Year 1 - \$97,250; Year 2 - \$58,270; Year 3 - \$61,960. Year 1 costs are higher due to database and web page setup. Following years are anticipated to have fewer meetings but have expanded numbers of stakeholders. Years 2 and 3 are considerably reduced in cost as one of the tasks is expected to seek independent financing after Year 1. Not included in these costs are the in-kind services provided by COG over the three year program term, estimated at a value of \$10,000.

**Adverse and Third-Party Impacts.** The proposal applicants and collaborators foresee no adverse or third party impacts resulting from the Coordination Program.

**Applicant Qualifications.** The San Joaquin Council of Governments (COG) is a joint powers authority comprised of the seven incorporated cities in San Joaquin County and the County government. COG is the regional transportation planning agency for the county and serves as a technical and informational resource for its member jurisdictions. In addition to its primary role of regional transportation planning, COG provides demographic research, habitat and open space planning, and congestion management services to the region. Over the past five years COG has become more involved in regional land use policy through the process of developing a habitat conservation plan for San Joaquin County.

**Monitoring and Data Evaluation.** The Coordination Program will submit to CALFED on a biannual basis an assessment of how the Program is functioning. Each watershed project served by the Coordination Program will provide quarterly progress updates and input on the success of the coordination effort. This feedback on Program progress will be included and summarized in the bi-annual report, which will also include what steps were taken between quarters to improve reported deficiencies and to continue successful efforts.

**Local Support.** This proposal has the support of all the participants and collaborators shown on the Title Page. As shown in Table 1, many of these projects are CALFED-funded projects, and will require some coordination efforts.

**Compatibility with CALFED Objectives.** CALFED addresses four primary objectives: ecosystem quality, water quality, levee system integrity, and water supply reliability. The overall goal of the ERP is to *restore habitats to support stable, self-sustaining populations of diverse and valuable species* (Strategic Plan, p. 1). The projects listed in Table 1 are addressing the four primary objectives and the overall ERP goal. More specifically, these projects also address (to differing degrees) the six key ecosystem restoration goals of the Strategic Plan (p. 27).

## PROJECT DESCRIPTION

**Proposed Scope of Work.** The Mokelumne and Cosumnes Rivers Coordination Program (Coordination Program) will be created to support communication, partnership, and integration of the numerous ongoing and proposed projects in the East Delta Habitat Corridor, North Delta and southern Sacramento County. These projects include fish passage improvements, habitat restoration and preservation, alternative flood control, and watershed management. Several of these projects have received prior CALFED awards. The intent of this proposal is not to gain additional funding for these individual projects, but to provide a mechanism for coordination and integrated planning to ensure that restoration and other projects take advantage of synergies, in order to best achieve the overall goal of a healthier watershed.

Each of the projects in Table 1, whether funded through CALFED or not, plays an important role in achieving and maintaining the objectives of the Strategic Plan. Although there is inherent overlap in the goals and objectives of each effort, each has been initiated to address a specific issue or set of issues in the Mokelumne and Cosumnes Rivers Watersheds. **However, through discussions within the Sacramento RCD, COG, and several of the collaborators including San Joaquin RCD, there is a shared concern that minimal organizational structure and financial means are in place to ensure collaboration, communication, and integration among these projects.** The Sacramento RCD, COG, and other stakeholders are committed to enhancing CALFED efforts through stakeholder involvement in these watersheds.

Projects such as those shown in Table 1 often require interested stakeholders to volunteer time to attend meetings and workshops, conduct site visits, develop plans, review documents and background materials, provide written comments, write letters, and other activities. Sacramento RCD and COG consider it likely that given the list of projects in Table 1, considerable overlap will occur regarding demands of time on landowners, water users, environmental conservancy representatives, resource agency representatives, academicians, and other interested stakeholders. These overlaps will occur because there is no existing program to coordinate all the critical dates, milestones, documents, and activities occurring under these projects. Furthermore, there is no existing program to ensure that these projects will remain consistent with the objectives of the Strategic Plan, and will integrate and share information and decisions, rather than inadvertently duplicating labor and funding.

The Coordination Program will function as a coordinator and information clearinghouse for these projects, as well as any unforeseen projects in the area. **The Coordination Program is not intended to be another entity or layer of hierarchy in an already complex set of projects. It is not intended to replicate ongoing or proposed efforts. It is not a science-based project.** The Coordination Program will manage a stakeholder database, distribute technical and nontechnical information, provide calendars of events (including environmental compliance activities) to all stakeholders, issue newsletters, manage a program web page, report to CALFED and other appropriate entities of watershed-related activities, and conduct other similar coordination activities. The Coordination Program will provide staff to facilitate coordination of projects presently underway (and not previously provided with such support), and to future projects lacking in such support.

The proposed Coordination Program will provide the following benefits to the East Delta/Cosumnes and Mokelumne watersheds and North Delta and south Sacramento County Areas:

- Provide previously unavailable organization and coordination among no less than 10 existing projects,
- Improve and maintain communication and minimize communication disconnects,
- Minimize overlap and demands on stakeholder efforts,
- Implement a system to share and distribute information,
- Avoid duplication of funding and labor, and
- Ensure multiwatershed-level integration of CALFED objectives.

**Task 1: Develop and Manage Stakeholder Database.** The Coordination Program team will work with all existing projects to acquire previously developed lists of stakeholders, develop stakeholders lists where required, and create associated contact information. The Coordination Program will develop a master database, including all stakeholders from each watershed. Each stakeholder will be assigned key words identifying which group they are members of or recipients of information from. The database will be updated monthly. Additionally, a geographic information system (GIS) map of resources and general land uses will also be prepared and updated.

**Deliverables:** Database of stakeholders within the lower Mokelumne and Cosumnes River and GIS maps of resources and land uses in the Mokelumne/Cosumnes watersheds.

**Schedule:** July 1999-June 2002; **Budget:** Year 1 - \$6,450; Year 2 - \$6,450 ; Year 3 - \$6,450

**Task 2: Assist and Facilitate Stakeholder Outreach and Education.** The Coordination Program will contact existing stakeholders and potential future stakeholders to confirm involvement and interest in projects. Stakeholders will be asked to identify, in a standard format, the projects they are presently involved in and those they would like to be involved in or receive information from. Upon receipt of this input from stakeholders, the Coordination Program will enter this information into the database and will also forward it to the appropriate projects for their individual communication tools. The Coordination Program team will contact watershed project managers by telephone and/or E-mail on a monthly basis to collect information from each project. This information may include, but may not be limited to, schedules, meetings, site visits, document distribution, document reviews and comment periods, technical data, funding updates, and other appropriate issues. The Coordination Program team will develop and distribute a newsletter every quarter to the entire stakeholder list. The newsletter will provide project updates, articles submitted by project managers and staff, calendars showing all project schedules, and other appropriate information. This information also will be included on the program web page as discussed in Task 4. Lastly, appropriate project information will be provided to ICE.

**Deliverables:** Bi-monthly compendium of meeting minutes, technical reports, newsletters, press releases and project updates.

**Schedule:** July 1999-June 2002; **Budget:** Year 1 - \$24,500; Year 2 - \$32,900; Year 3 - \$36,190

**Task 3: Develop and Manage Program Web Page.** The Coordination Program will develop and manage a web page that will include all information presented in the newsletter, up-to-date information and schedule changes, and technical reports and articles too voluminous to include in the newsletter. If appropriate, the web page may be coordinated with the existing Cosumnes Preserve web page. Each project will be provided with a subpage under which they can provide project specific information and documents that are considered appropriate for public distribution. The web page will provide links to the various cooperator's web pages, CALFED's web page, and other watershed-related web pages.  
**Deliverables:** Web pages updated bimonthly.

**Schedule:** July 1999-June 2002; **Budget:** Year 1 - \$7,200; Year 2 - \$4,320; Year 3 - \$4,320

**Task 4: Facilitation of Quarterly Watershed Planning Meetings.** The Coordination Program will designate a staff person to coordinate project activities and meetings. This staff person will organize meetings, arrange facilities, and provide services such as photocopies, audiovisual tools, communications, and other appropriate items.

**Deliverables:** Report on Progress Achieved Through Meetings

**Schedule:** July 1999-June 2002; **Budget:** Year 1 - \$9,000; Year 2 - \$9,000; Year 3 - \$9,000

**Task 5: Preliminary Mokelumne Forks/Delta Watershed Planning.** The COG and other proposal collaborators will identify stakeholders in the lowest reaches of the Mokelumne River Forks and will initiate efforts to develop a shared watershed plan vision for this reach.

**Deliverables:** Draft watershed plan outline, goals and objectives.

**Schedule:** July 1999-June 2001; **Budget:** Year 1 - \$40,000; Year 2 and Year 3 - no funding requested

**Task 6: Provide Program Management.** The COG will provide program management and they will be responsible for Program schedules, staffing, budgets, status reports to CALFED and other appropriate entities, associated watershed projects interaction, invoicing, and other similar managerial services. COG intends to select a subcontractor to accomplish Tasks 1-5.

**Deliverables:** Bi-annual reports.

**Schedule:** July 1999-June 2002; **Budget:** Year 1 - \$9,100; Year 2 - \$5,600; Year 3 - \$6,000

**It is the opinion of Sacramento RCD, COG, and the Coordination Program collaborators that Tasks 1, 2, 3, 4, and 6 are necessary and are inseparable. Task 4 is highly encouraged and favored. Task 5 is a first year request only. Future funding for Task 5 will be pursued through other means.**

**Location and Geographic Boundaries.** The general location of the Coordination Program is provided on Figure 2. The project area includes the Mokelumne River from Camanche Dam to the San Joaquin River (including the North and South Forks around Staten Island), the Cosumnes River from the State Highway 49 crossing to the Mokelumne River, and areas in the North Delta and along the Sacramento River upstream of the Cosumnes and Mokelumne Rivers. It is difficult at this time to identify the specific boundaries of the project area. It is anticipated that participants in the Coordination Program will be defined by their interest in watershed activities, rather than by a geophysical definition of the two watersheds. Due to the previous rationale, the project area has not been delineated in this proposal on a USGS or similar coordinate-based map. Future mapping will be conducted during the project and this information will be provided to CALFED and ICE.



## ECOLOGICAL/BIOLOGICAL BENEFITS

**Ecological/Biological Objectives.** Volume II of the ERP Plan (ERPP) (pp. 357-384) presents the Eastside Delta Tributaries Ecological Management Zone section. This section presents the Ecological Management Units of the Cosumnes, Mokelumne, and Calaveras Rivers and describes the notable ecological stressors, and the species most likely to benefit from restoration actions. This section also presents the ERP vision and targets for the improvement of ecological processes, habitats, species populations, and stressor reductions. Specific stressors attributed to the Cosumnes River include minimized floodplains through flood control measures, groundwater depletion and subsequent incision of the river, separation from the floodplain, and minimization of spawning gravel (ERPP, Vol. II, pp. 358-360). Specific stressors attributed to the Mokelumne River include altered instream flows, altered water temperatures, reduced sediment transport, minimized floodplains through flood control measures, and restriction of fish passage (ERPP, Vol. II, pp. 358, 360-363). Sacramento RCD and COG believe that the projects listed in Table 1 and this proposed Coordination Program support the vision, the achievement of targets, and the implementation of programmatic actions presented in Volume II of the ERPP (pp. 365-383). **This support assumes that land and water users in the Cosumnes and Mokelumne Watersheds are provided reasonable opportunities to maintain economic viability and protect their investments.**

**Linkages.** As evidenced in Table 1, the funds expended thus far by CALFED and others only partially achieve the CALFED strategic and ecosystem goals and objectives. Of additional importance is the fulfillment of CALFED's Watershed Program goals and objectives. As discussed in Section 1.1 of the Revised Draft Watershed Program Plan dated February 1999 (Watershed Plan), *"...the Watershed Program will use a comprehensive, integrated, basin-wide approach to help solve problems...emphasizing local participation and government cooperation at all levels".* The Watershed Plan further states that an *"...integral component is to promote coordination among watershed efforts, building partnerships throughout the Bay-Delta System..."*. Additionally, Section 1.5 of the Watershed Plan presents the primary objectives of the Watershed Program. The applicants and collaborators of this proposal firmly believe that the proposed Coordination Program fulfills these ambitions.

Section 2.1 of the Watershed Plan states that natural resource conservation, restoration, and enhancement will be achieved *"using a collaborative approach that encourages broad participation".* It further states that stewardship activities *"must not only be technically appropriate but also socially and politically in concert with local needs and desires."* Lastly, it states that the *"Watershed Program will emphasize activities that improve diversity and reliability of information generation, analysis, distribution, and use" and that the "Watershed Program will support and broaden activities that make information more available to those who need it and present that information in formats that facilitate its effective use."* The applicants and collaborators of this proposal, representing the agricultural, environmental, and urban water communities, can think of no more appropriate realization of these ideals than the proposed Coordination Program for the Cosumnes and Mokelumne Watersheds. Of the primary elements presented in Section 2 of the Watershed Plan (pp. 2-3 through 2-17), the proposed Coordination Program clearly achieves all five. In particular, Element A - *Coordination and Assistance*, Element C - *Education and Outreach*, Element D - *Integration with other CALFED Programs*, and Element E - *Watershed Processes and Relationships* (as previously discussed) are all very effectively addressed through the proposed Coordination Program.

CALFED addresses four primary objectives: ecosystem quality, water quality, levee system integrity, and water supply reliability. The overall goal of the ERP is *"to restore or mimic ecological processes and to increase and improve aquatic and terrestrial habitats to support stable, self-sustaining populations of diverse and valuable species"* (Strategic Plan, p. 1). The projects listed in Table 1 are addressing the four objectives and the overall ERP goal. More specifically, these projects also address (to differing degrees) the six key ecosystem restoration goals of the Strategic Plan (p. 27). Watershed stewardship programs inherently address the multiple issues associated with watershed management. Such issues are reflected in the CALFED strategic objectives. **This proposed Coordination Program seeks to support the CALFED mission. As previously stated, the proposed Coordination Program can only serve to enhance and complement projects and programs in the Cosumnes and Mokelumne Watersheds.**

**System-Wide Ecosystem Benefits.** As previously stated in Table 1, there is an increasing need for coordination among the ongoing and proposed projects in the East Delta. The Strategic Plan identifies the East Delta Habitat Corridor as a demonstration watershed complex as part of CALFED's Stage 1 implementation goals. The applicants and collaborators on this proposal surmise therefore, that CALFED has a great interest and optimism for the successful implementation of projects in these watersheds. With regards to the 12 issues identified in the Strategic Plan, the watersheds of the Cosumnes and Mokelumne Rivers are clearly applicable; specifically with regards to introduced species, shallow-water tidal and freshwater habitat, contaminants in the Central Valley, declines in productivity, and the importance of the Delta for anadromous and sensitive fish species. Because of the relatively intact hydrology of the Cosumnes River and the potential for restoration and the minimal urbanization directly adjacent to both river channels, these watersheds provide an extraordinary opportunity to study, compare, and implement projects regarding channel dynamics, sediment transport, and riparian vegetation; flood management as an ecosystem tool, and small, floodplain-related bypasses as habitat.

The sole purpose of the Coordination Program is to ensure that coordination is established and maintained for the numerous ongoing projects funded by CALFED or other agencies and organizations in the East Delta and that subsequent system-wide ecosystem benefits are realized. In particular, "Opportunities in the Delta" Numbers 2-8 (pp. 41-42) and "Opportunities in the Delta" Numbers 1-9 (pp. 42-45) of the Strategic Plan are being pursued or are proposed to be pursued as part of the numerous projects. Actions such as restoration and rehabilitation of a contiguous corridor of riparian, shaded riverine aquatic, tidal freshwater, and seasonal and perennial habitats; restoration of tidal marsh and riparian habitats in conjunction with flood control measures, restoration of similar habitats on East Delta Sloughs, and restoration of mid-channel islands (as indicated on pp. 52-54 of the Strategic Plan) are underway or proposed.

**Sacramento RCD and COG believe it is unreasonable to assume that these opportunities and actions will be achieved efficiently (if at all) without coordinated efforts, and the support of the numerous stakeholders in these watersheds. The proposed Coordination Program can only serve to enhance and complement projects and programs in these watersheds.**

**Compatibility with Non-Ecosystem Objectives.** As presented in Table 1, the numerous ongoing projects in the Cosumnes and Mokelumne Watersheds are addressing a variety of issues, represented

by a variety of stakeholders. Sacramento RCD and COG represent a significant population of primarily agricultural land and water users in the proposed watershed. These stakeholders maintain a great love and respect for the land and hold themselves as stewards of the resources in the watersheds. Obviously, these stakeholders want and need to remain economically viable. They have endured periodic and sometimes catastrophic flooding and have observed urbanization that is rapidly encroaching into active floodplains, farmlands, and natural and managed habitats. They and many other related stakeholders recognize that the Eastside Delta system (including flows down the Sacramento River and through the Delta Cross Channel, and lands and towns in northern San Joaquin County and southern Sacramento County) is extremely complex and requires a complex set of solutions to accommodate everyone's concerns.

**All these stakeholders holding all these concerns need to have a mechanism to communicate with each other. Otherwise, there can be no comprehensive watershed-based consensus on how to improve the environment while maintaining the economic viability of stakeholders within the watersheds. The proposed Coordination Program provides the most logical and efficient approach to meet the needs of stakeholders and achieve the strategic goals and objectives of CALFED.**

The proposal applicants foresee no third-party impacts resulting from the proposed Coordination Program.

## TECHNICAL FEASIBILITY AND TIMING

Representatives of Sacramento RCD and COG, and the collaborators have jointly discussed the necessity for some type of coordination in the Cosumnes and Mokelumne Watersheds. They have identified that **communication must and will occur** in this region. The principle question faced by Sacramento RCD and COG is whether **it is better to allow communication to develop organically and most likely incompletely; or to pursue an organized, comprehensive coordination approach that serves all stakeholders equally.** Obviously, Sacramento RCD and COG have chosen to implement the proposed Coordination Program.

Sacramento RCD and COG anticipate that the Coordination Program will require a 3-year process. However, they acknowledge that funding for the Coordination Program will require a partnered effort and that present and future funding from CALFED may only partially cover administrative costs (as stated in Section 2.4, p. 2-10 of the Watershed Plan) and per year requirements. Cost sharing is discussed below in the Cost Sharing section.

The applicants do not foresee any NEPA, CEPA, or similar environmental compliance activities required as a result of the Coordination Program. As previously stated, however, the Coordination Program will assume the responsibility to inform stakeholders of other NEPA, CEPA, and similar activities in the watersheds.

Inherent in the scope of the Coordination Program will be a methodology agreed on by a consensus of stakeholders regarding dispute resolution processes. These methods will be employed when resolution of implementation and other watershed-related conflicts is required.

## MONITORING AND DATA COLLECTION METHODOLOGY

On watershed stewardship-type projects, monitoring biological and ecological responses is often not applicable due to the public involvement and stakeholder development nature of such projects. **However, evaluation of successes and deficiencies in a watershed stewardship program is appropriate and constructive.** The Coordination Program will submit to CALFED, on a biannual basis, an assessment of how the program is functioning. As part of this assessment, one representative from each watershed project served by the Coordination Program will be asked to fill out a review form. This form will be distributed on a quarterly basis to these representatives. The form will request feedback regarding how the program is functioning. These review forms will be included and summarized in the biannual report, which will also include what steps were taken between quarters to improve any reported deficiencies. Public involvement and stakeholder development projects are inherently idiosyncratic. Each project is different and can change over time as different people become involved. **The key to successful implementation of such a project is to keep the structure flexible and adaptable to change.** Therefore, as part of the Coordination Program's adaptive management process, input from the review forms will be used to assess activities, ensure corrective actions on deficiencies, and to continue successful efforts.

## LOCAL INVOLVEMENT

Figures 2 - 10 are letters of support from the collaborators on the Coordination Program. Through preliminary meetings, individual stakeholders have been presented with the idea for the Coordination Program and a consensus of all organizations has approved pursuit of this effort. Figures 11 - 13 present copies of the formal notification letters that have been sent to the appropriate contacts in San Joaquin and Sacramento Counties and the Delta Protection Commission. At this time, no property access is expected to be required for the Coordination Program, however, the Coordination Program will assume the responsibility to inform stakeholders when permission for such access is required. At this time, no third-party impacts are anticipated in connection to the Coordination Program.

## **COST**

Table 2 presents the proposed costs for the Coordination Program which have been broken down by year for 3 years: Year 1 - \$97,250; Year 2 - \$58,270; Year 3 - \$61,960. Year 1 costs are higher due to database and web page setup. Following years are anticipated to have fewer meetings but have expanded numbers of stakeholders. As previously stated, the proposal applicants and collaborators acknowledge that funding for the Coordination Program will require a partnered effort and that future funding from CALFED may only partially cover administrative costs (as stated in Section 2.4, p. 2-10 of the Watershed Plan). Cost-sharing partners and options are discussed in the next section.

## **COST SHARING**

As previously identified, there are several sponsors of the ongoing projects in the Cosumnes and Mokelumne Watersheds. These project sponsors (included as collaborators in this proposal) have existing budgets of varying sizes and scope. The following collaborators have all pledged financial and/or in-kind services support to the Coordination Program:

- EBMUD,
- Corps
- TNC,
- U.C. Davis Center for Integrated Watershed Science and Management,
- Sacramento County Natural Resources Conservation Service,
- WID, and
- City of Lodi



## APPLICANT QUALIFICATIONS

The San Joaquin Council of Governments is a regional government agency serving San Joaquin County. Member agencies are the Cities of Escalon, Lathrop, Lodi, Manteca, Ripon, Stockton, Tracy, and the County of San Joaquin. The Council serves as the Regional Transportation Planning Agency, the Local Transportation Authority, the Congestion Management Agency, and the Airport Land Use Commission. Pending approval of the Habitat Plan, the Council will become the Interim Joint Powers Authority for the San Joaquin Multi-Species Habitat Conservation and Open Space Plan. The Council has recently become a major player in regional land use and planning issues, including habitat protection and agricultural preservation.

Julie E. Greene is the Executive Director of the San Joaquin Council of Governments (COG). Ms. Greene has a business management degree from Eckerd College and a master's degree in public administration from Golden Gate University in Florida. She has completed the Program for Senior Executives in State and Local Government at the John F. Kennedy School of Government at Harvard University. Ms. Green was the Executive Director of the Tampa Bay Regional Planning Council in St. Petersburg, Florida, where she worked for over twenty years.

Suzanne Isé is the COG staff planner for the Habitat Plan and other environmental projects. She has a B.A. in Environmental Studies from the University of California at Santa Cruz and a Master's of Regional Planning from the University of Massachusetts, Amherst. She has worked with environmental groups in California, Massachusetts, and in Budapest, including the San Francisco Estuary Institute and the Connecticut River Watershed Association.

The project applicants anticipate the following organization of the Coordination Program. COG will be the recipient of any CALFED funds, and they will administer the grant and be the Program Manager. COG will seek outside assistance as necessary to complete described tasks through subcontracts. Sacramento RCD will be designated as Program Partners with COG regarding any program-specific decisions. Upon initiation of the contract, COG will develop a Work Plan that will specify in detail the subtasks and specific time lines to be managed in the Coordination Program. For the duration of the program, Sacramento RCD and COG will designate one representative from each organization as their Program Liaison. These liaison will work on scheduling, invoicing, dedication of staff and budget, and other associated issues.

Program collaborators (as identified on the title page) will provide feedback as indicated in the Monitoring and Data Collection section of this proposal. These collaborators represent a majority of the groups that will use the Coordination Program services. Program collaborators will be expected to provide feedback (on an as-required basis) to the Program Liaisons regarding any deficiencies and successes of the Coordination Program.

## ATTACHMENTS

**Table 1**  
**Mokelumne and Cosumnes Rivers Coordination Program**  
**Ongoing and Currently proposed Projects in the East Delta**  
**(including North Delta and South Sacramento County)**

Project	Project Sponsor	Project Goals
The Lower Mokelumne River Restoration Project	Woodbridge Irrigation District and the City of Lodi	Remove barriers to anadromous fish migration, support riparian restoration efforts, minimize ecological stressors, restore spawning grounds. (CALFED Funding Support)
The Cosumnes River Task Force	Lower Cosumnes RCD, Sloughouse RCD, Florin RCD, and Amador RCD.	Develop a long term strategy to encourage restoration of watershed health and improve flood management. (CALFED Funding Support)
Joint Settlement Agreement for the Mokelumne River	Federal Energy Regulatory Commission: California Department of Fish and Game (DFG), U.S. Fish and Wildlife Service (FWS), EMBUD.	Provide reasonable protection and enhancement from current conditions for the anadromous fishery and ecosystem of the lower Mokelumne River including flow enhancement, riparian restoration, aquatic habitat restoration, reduction/eradication of invasive non-native vegetation from riparian corridors and restoration of natural flows of sediment and large woody debris, and biological monitoring.
The Lower Cosumnes River Feasibility Study	Corps, TNC	Assess, identify, and implement ecological restoration and non-traditional flood damage reduction alternatives (CALFED Funding Support)
The Lower Mokelumne River Feasibility Study	Corps, EBMUD	Assess, identify, and implement ecological restoration and non-traditional flood damage reduction alternatives. Mimic natural flow regimes through innovative reservoir management. (CALFED Funding Support)

The Lower Mokelumne River Stewardship Program	SJRCD.	Prepare a watershed stewardship plan and implement priority watershed stewardship efforts within the lower Mokelumne River watershed from Camanche Dam to the Cosumnes River. Implement an Environmental Farm Plan encouraging voluntary agricultural assessment and reduction of non-point source pollutants, and conduct biological monitoring. (CALFED Funding Support)
San Joaquin County Multi-Species Habitat Conservation and Open Space Plan (HCP) Program	San Joaquin Council of Governments.	Provide mitigation, enhancement and compensation for development resulting in conversion of open spaces to non-open space uses. This plan mitigates impacts to threatened, endangered and other special status species in San Joaquin County through habitat protection and restoration. Habitat projects may include acquisition of conservation easements on riparian areas along Lower Mokelumne. (Funded through development fees and various other grants)
The Cosumnes Consortium Research and Monitoring Program	UCD Center for Integrated Watershed Science and Management	Conduct fluviogeomorphic-ecological studies of the Cosumnes and Mokelumne Rivers. (Packard Foundation Funded)
The Cosumnes River Preserve	TNC, DFG, California State Lands Commission and Department of Water Resources, Ducks Unlimited, Sacramento County, U.S. Bureau of Land Management and private land owners.	Protect and restore critical habitats along the Cosumnes and Mokelumne Rivers and support natural resource sensitive agricultural practices
South Sacramento Stream Group Project	Sacramento Area Flood Control Agency, Corps, State of California Reclamation Board *(proposed sponsor).	Address flood risks along creeks in urban South Sacramento through a combination of flood control alternatives. The proposed project also includes recreational amenities and an environmental restoration component in the Sacramento County Regional Treatment plant bufferlands

**Table 2. Cost Estimate for Coordination Program, By Year and Total for 3-Year Program**

					YEAR 1	YEAR 2*	YEAR 3*	TOTAL PROGRAM COSTS
Task	Total Hours	Labor Costs	Labor Total	Direct xpense	Total Cost	Total Cost	Total Cost	
1. Develop and Manage Stakeholder Database	180	\$35	\$6,300	\$150	\$6,450	\$6,450	\$6,450	
2. Assist and Facilitate Stakeholder Outreach and Education Efforts	450	\$50	\$22,500	\$2,000	\$24,500	\$32,900	\$36,190	
3. Develop and Manage Program Webpage	200	\$35	\$7,000	\$200	\$7,200	\$4,320	\$4,320	
4. Facilitation of Quarterly Watershed Planning Meetings	160	\$50	\$8,000	\$1,000	\$9,000	\$9,000	\$9,000	
5. Preliminary Mokelumne Forks Watershed Planning	800	\$50	\$40,000	\$1,000	\$41,000	N/A	N/A	
6. Provide Program Management	140	\$65	\$9,100	\$0	\$9,100	\$5,600	\$6,000	
Total hours	1,930							
Subtotals			\$92,900					
Direct expense subtotal				\$4,350				
Total Costs					\$97,250	\$58,270	\$61,960	\$217,480

\* Year 2 and 3 Total Costs include both Direct Expenses and Labor Costs although the breakdown is not shown in this table.



## Figure 1

**FIGURE 2**

CITY COUNCIL

KEITH LAND, Mayor  
STEPHEN J. MANN  
Mayor Pro Tempore  
SUSAN HITCHCOCK  
ALAN NAKANISHI  
PHILLIP A. PENNING

CITY OF LODI

CITY HALL, 221 WEST PINE STREET  
P.O. BOX 3006  
LODI, CALIFORNIA 95241-1910  
TEL. (209) 333-6700  
FAX (209) 333-6807

H. DIXON FLYNN  
City Manager

ALICE M. REIMCHE  
City Clerk

RANDALL A. HAYS  
City Attorney

April 8, 1999

RECEIVED  
APR 13 1999

SJ COUNCIL OF  
GOVERNMENTS

Ms. Suzanne Ise  
San Joaquin County Council of Governments  
6 South El Dorado Street, Suite 400  
Stockton, CA 95202

Dear Ms. Ise:

Thank you very much for the opportunity to review your joint proposal to coordinate and facilitate a long-term strategy to encourage restoration of the lower Mokelumne and Consumnes rivers. The City is very supportive of the proposal and agrees that the program will result in substantial benefits to anadromous fisheries production, aquatic habitats and riparian ecosystem integrity and diversity.

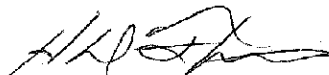
The CALFED Bay-Delta Program's draft strategic plan for ecosystem restoration has identified several opportunities for river restoration. Many of these opportunities are currently being pursued in the lower Mokelumne and Consumnes rivers, including the Army Corps of Engineers evaluation of ecosystem restoration and non-traditional flood damage; the San Joaquin Resource Conservation District's Lower Mokelumne Stewardship Program; The Nature Conservancy's management activities at the Consumnes Preserve; and, the University of California's Center for Integrated Watershed Science and Management research program.

Cooperative actions such as your joint proposal to achieve and maintain these objectives are encouraged. These programs, when fully implemented, will provide substantial progress in restoring the Sacramento-San Joaquin Bay-Delta ecosystems, as well as providing great benefits to the residents of the City of Lodi and San Joaquin and Sacramento counties.

Your program is critical to the success of the ongoing efforts in the Mokelumne and Consumnes rivers and will ensure coordination and integration of the various programs designed to recover the ecosystem and support stable, self-sustaining populations of fish and wildlife species.

I offer the City's full support and cooperation, and encourage other stakeholders in the lower Mokelumne and Consumnes river areas to support your efforts.

Very truly yours,



H. Dixon Flynn  
City Manager



**FIGURE 3**

DIRECTORS  
**WILLIAM STOKES**  
PRESIDENT  
**ED LUCCHESI**  
VICE PRESIDENT  
**ADAM VAN EXEL**  
**JOE COTTA, JR.**  
**BILL SHINN**

**WOODBIDGE IRRIGATION DISTRICT**  
**18777 N. LOWER SACRAMENTO ROAD**  
**WOODBIDGE, CALIFORNIA 95258**  
**(209) 369-6808**  
**FAX: 369-6823**

**ANDERS CHRISTENSEN**  
MANAGER  
SECRETARY/TREASURER  
**JIM SHULTS**  
SUPERINTENDENT

April 9, 1999

Mr. John B. Meek, Jr.  
San Joaquin County Resource Conservation District  
122 Monaco Court, #23  
Stockton, CA 95207

**RECEIVED**  
**APR 13 1999**

**SJ COUNCIL OF  
GOVERNMENTS**

Ms. Jenny Pickel  
Sacramento County Resource Conservation Districts  
9701 Dino Drive, Suite 170  
Elk Grove, CA 95624

Ms. Suzanne Ise  
San Joaquin County Council of Governments  
6 South El Dorado Street, Suite 400  
Stockton, CA 95202

I would like to take this opportunity to support your joint proposal to coordinate and facilitate a long-term strategy to encourage restoration of the lower Mokelumne and Cosumnes rivers. Our District agrees that program will result in substantial ERP benefits.

CALFED Bay-Delta Program's ERP program is creating opportunities that are being pursued in the lower Mokelumne and Cosumnes rivers to make improvements to the ecosystem, including the Army Corps of Engineers evaluation of ecosystem restoration and non-traditional flood damage; the San Joaquin Resource Conservation District's Lower Mokelumne Stewardship Program; The Nature Conservancy's management activities at the Cosumnes Preserve; and, the University of California's Center for Integrated Watershed Science and Management research program.. These programs, when fully implemented will provide substantial progress in restoring the Sacramento-San Joaquin Bay-Delta ecosystem, as well as providing great benefits to the residents of Sacramento and San Joaquin counties.

Your program will ensure coordination and integration of the various programs to meet ERP targets.

We fully support your efforts and pledge our cooperation

Very truly yours,



Anders Christensen  
General Manager

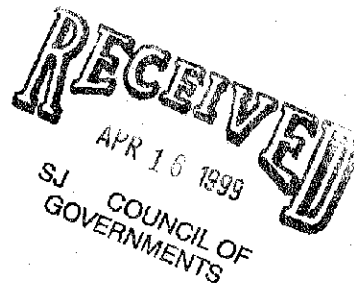
**FIGURE 4**



Cosumnes River Preserve  
13501 Franklin Boulevard  
Cali, California 95632

International Headquarters  
Arlington, Virginia

TEL 916 681-2142  
FAX 916 683-1702



April 14, 1999

Ms. Julia E. Greene  
San Joaquin County Council of Governments  
6 South El Dorado Street, Suite 400  
Stockton, CA 95202

Dear Julia,

On behalf of The Nature Conservancy, I want to express our support for your to create the Mokelumne and Cosumnes Rivers Coordination Program to support communication, partnership and integration of the on-going and proposed restoration projects in the East Delta Habitat Corridor. We are pleased to be a cooperator in this restoration program, and believe that the activities you propose will be of substantial benefit to all of the entities engaged in activities to restore ecosystem functions in the two river basins.

The discrete ecosystem restoration, flood damage reduction, and farmland protection projects of southern Sacramento and northern San Joaquin County have mutually supportive goals, and the managing agencies are already exploring opportunities for collaboration; but the number of projects and agencies involved does present significant coordination and communication challenges. The Coordination Program will help to further identify opportunities to combine efforts and resources, avoid unnecessary duplication, assure that the findings from one project will inform other projects, and to coordinate contacts with regional landowners and other stakeholders.

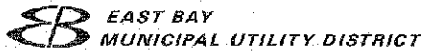
Sincerely,

A handwritten signature in cursive script that reads "Mike Eaton".

Mike Eaton  
Project Director

**FIGURE 4**

**FIGURE 5**



DENNIS M. DIEMER  
GENERAL MANAGER

RECEIVED  
APR 16 1999  
SJ COUNCIL OF  
GOVERNMENTS

April 15, 1999

Ms. Suzanne Ise  
San Joaquin County Council of Governments  
6 South El Dorado Street, Suite 400  
Stockton, CA 95202

Dear Ms. Ise:

Thank you very much for the opportunity to review your joint proposal to coordinate and facilitate a long-term strategy to encourage restoration of the lower Mokelumne and Cosumnes rivers. The District is supportive of the proposal to coordinate the comprehensive restoration efforts currently underway on both of these Eastside Tributaries.

Sincerely,

A handwritten signature in cursive script that reads 'Dennis M. Diemer'.

Dennis M. Diemer

**FIGURE 6**



## UNIVERSITY OF CALIFORNIA, DAVIS

BERKELEY • DAVIS • IRVINE • LOS ANGELES • RIVERSIDE • SAN DIEGO • SAN FRANCISCO



SANTA BARBARA • SANTA CRUZ

JOHN MUIR INSTITUTE OF THE ENVIRONMENT

ONE SHIELDS AVENUE  
DAVIS, CALIFORNIA 95616

April 14, 1999

Ms. Julia E. Greene  
San Joaquin County Council of Governments  
6 South El Dorado Street, Suite 400  
Stockton, CA 95202

Dear Julia,

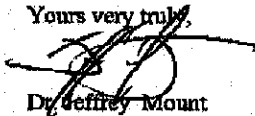
Thank you very much for the opportunity to review your joint proposal to create the Mokelumne and Cosumnes Rivers Coordination Program to support communication, partnership and integration of the on-going and proposed restoration projects in the East Delta Habitat Corridor. The UCD Center for Integrated Watershed Science and Management is pleased to cooperate in this effort, and believes that the program will be of substantial benefit to all of the entities engaged in activities to restore ecosystem function in the two river basins.

The CALFED Bay-Delta Program's draft strategic plan for ecosystem restoration has identified several opportunities for river restoration. Many of these opportunities are currently being pursued in the lower Mokelumne and Cosumnes rivers, including the Army Corps of Engineers evaluation of ecosystem restoration and non-traditional flood damage; the San Joaquin Resource Conservation District's Lower Mokelumne Stewardship Program; The Nature Conservancy's management activities at the Cosumnes Preserve; EBMUD's Lower Mokelumne River Joint Settlement Agreement (FERC Project No. 2916-004), and the University of California's Center for Integrated Watershed Science and Management research and monitoring programs on the Cosumnes and the Mokelumne. In addition, there are a number of proposals coming before CALFED in this Category III round to initiate new projects and expand the scope of work already underway.

These projects have mutually supportive goals, and the managing agencies are already exploring opportunities for collaboration; but the number of projects and agencies involved does present significant coordination and communication challenges, which the proposed Coordination Program is designed to address. The Coordination Program will help to further identify opportunities to combine efforts and resources, avoid unnecessary duplication, assure that the findings from one project will inform other projects, and to coordinate contacts with regional landowners and other stakeholders.

We look forward to working with you.

Yours very truly,



Dr. Jeffrey Mount

Director, Center for Integrated Watershed Science and Management

cc: John Meek, Jr., San Joaquin Resource Conservation District  
Jenny Pickel, Natural Resource Conservation District (Sacramento County RCDs)  
Michael Eaton, The Nature Conservancy  
Jim Smith, East Bay Municipal Utility District

**FIGURE 7**



DEPARTMENT OF ENVIRONMENTAL SCIENCE AND POLICY

ONE SHIELDS AVENUE  
DAVIS, CALIFORNIA 95616-8576

April 14, 1999

Ms. Julia Greene  
San Joaquin County Council of Governments  
6 South El Dorado Street, Suite 400  
Stockton, CA 95202

Dear Ms. Greene,

As the manager for the Natural Resource Projects Inventory (NRPI) at the UC Davis Information Center for the Environment (ICE), I am writing in support of the Mokelumne and Cosumnes Rivers Coordination Program. We at ICE are currently digitizing CalFed projects and incorporating the data into the NRPI. I have met with several partners in the The Mokelumne and Cosumnes Rivers Coordination Program, and I think the proposed facilitation and participant database is a useful and necessary idea. We at ICE look forward to cooperating with you to make sure project descriptions from this area are incorporated into the NRPI to allow CalFed and any other interested group or agency to have web access to current project data.

Your proposal supports both ongoing and newly-formed efforts. Jeff Mount is continuing to catalogue monitoring in the Cosumnes watershed. This will fit in well with your proposal, and indeed, Jeff has voiced his support. As an upcoming forum, the Watershed Working Group of the California Biodiversity Council will begin meeting this month, and as a participant, I will encourage the connection between the Council's efforts and facilitation proposals such as the Mokelumne and Cosumnes Rivers Coordination Program.

Facilitation is a key piece in the watershed puzzle. I find your proposal to be far-sighted and thorough. I hope your efforts will be supported.

Yours in continuing work for the environment,

A handwritten signature in cursive script, reading "Mary E. Madison".

Mary E. Madison, Esq.  
Program Coordinator  
Information Center for the Environment

**FIGURE 8**



Sacramento  
Area Flood  
Control  
Agency

April 15, 1999

Ms. Julia E. Greene  
San Joaquin County Council of Governments  
6 South El Dorado Street, Suite 400  
Stockton, CA 95202

Dear Ms. Greene,

On behalf of SAFCA, I would like to provide our support to your Mokelumne and Cosumnes Rivers Coordination Program proposal to CALFED. Based on our review of this document, we believe that the coordination, communication, and integration of stakeholders and information in this region benefits SAFCA as we move forward with implementation of flood control improvements for south Sacramento County.

SAFCA is actively involved in the Corps of Engineer's South Sacramento Stream Group Project and is requesting the State Reclamation Board become the project's non-federal sponsor. Additionally, we are supportive of the CALFED efforts on the Lower Cosumnes and Lower Mokelumne Rivers Feasibility Studies with the Corps, The Nature Conservancy, and East Bay Municipal Utilities District. As a participant in flood control efforts in the north delta we understand the need to have all stakeholders affected by flood conveyance, water supply, and ecosystem restoration activities in and near the Cosumnes and Mokelumne watersheds to have a voice in future changes. Your program is vital to achieving this goal. The issues facing the Cosumnes and Mokelumne watersheds must be addressed in concert with the North Delta and South Sacramento County flood control efforts.

In closing, we are pleased to see you taking this proactive step to ensure coordination among ongoing and future projects. We offer our full cooperation and will encourage other affected stakeholders to do the same.

Sincerely,

A handwritten signature in dark ink, appearing to read "Paul T. Devereux", is written over a light-colored background.

Paul T. Devereux  
Director of Engineering

Office 916-874-7806  
FAX 916-874-8289

1007 7th Street, 3rd Floor  
Sacramento, CA 95814-3407

**FIGURE 9**



**SACRAMENTO RESOURCE CONSERVATION DISTRICTS**  
**FLORIN-SLOUGHHOUSE-LOWER COSUMNES**

9701 Dino Dr., Suite 170

Elk Grove CA 95624

916-714-1104

April 13, 1999

John B. Meek Jr.  
San Joaquin County RCD  
1222 Monaco Ct., Suite 23  
Stockton CA 95207

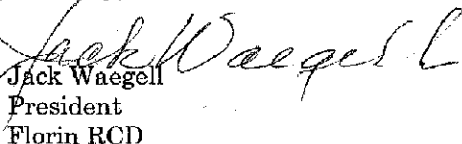
Dear Mr. Meek:

The Florin Resource Conservation District is looking forward to working with you on the Mokelumne and Cosumnes Rivers Coordination Program. We agree that the program will provide needed support to a wide variety of watershed planning and restoration projects, including our own Cosumnes River Task Force.

There are several projects taking place within the Cosumnes and Mokelumne watersheds that will provide significant benefits to the Sacramento-San Joaquin Bay-Delta Ecosystem as well as the watershed stakeholders. The US Army Corps of Engineers is evaluating opportunities for ecosystem restoration that will lead to more natural flood management; The Nature Conservancy is continuing to expand its efforts at the Cosumnes River Preserve; The University of California's Center for Integrated Watershed Science and Management has developed the Cosumnes Consortium Research and Monitoring program; Furthermore, there are several projects underway to improve conditions for anadromous fish on the Mokelumne. The Cosumnes River Task Force intends to develop a long term strategy that will encourage restoration of watershed health and improve flood management. These efforts must work together to be successful in achieving the common goal of ecosystem restoration.

The Coordination Program will allow the watershed projects to share information, resources, and decisions. This program is vital to the success of the watershed restoration and planning efforts. The Florin RCD offers its full support and cooperation for this very important program.

Sincerely,

  
Jack Waegell  
President  
Florin RCD



**FIGURE 10**



**SACRAMENTO RESOURCE CONSERVATION DISTRICTS**  
**FLORIN-SLOUGHHOUSE-LOWER COSUMNES**

9701 Dino Dr., Suite 170

Elk Grove CA 95624

916-714-1104

April 13, 1999

John B. Meek Jr.  
San Joaquin County RCD  
1222 Monaco Ct., Suite 23  
Stockton CA 95207

Dear Mr. Meek:

The Lower Cosumnes Resource Conservation District is looking forward to working with you on the Mokelumne and Cosumnes Rivers Coordination Program. We agree that the program will provide needed support to a wide variety of watershed planning and restoration projects, including our own Cosumnes River Task Force.

There are several projects taking place within the Cosumnes and Mokelumne watersheds that will provide significant benefits to the Sacramento-San Joaquin Bay-Delta Ecosystem as well as the watershed stakeholders. The US Army Corps of Engineers is evaluating opportunities for ecosystem restoration that will lead to more natural flood management; The Nature Conservancy is continuing to expand its efforts at the Cosumnes River Preserve; The University of California's Center for Integrated Watershed Science and Management has developed the Cosumnes Consortium Research and Monitoring program; Furthermore, there are several projects underway to improve conditions for anadromous fish on the Mokelumne. The Cosumnes River Task Force intends to develop a long term strategy that will encourage restoration of watershed health and improve flood management. These efforts must work together to be successful in achieving the common goal of ecosystem restoration.

The Coordination Program will allow the watershed projects to share information, resources, and decisions. This program is vital to the success of the watershed restoration and planning efforts. The Lower Cosumnes RCD offers its full support and cooperation for this very important program.

Sincerely,

Bill Shelton  
President  
Lower Cosumnes RCD

*Bill Shelton*  
*April 13, 1999*

**FIGURE 11**



## San Joaquin County Council of Governments

Member Agencies: Cities of Escalon, Lathrop, Lodi, Manteca, Ripon, Stockton, Tracy, County of San Joaquin

April 14, 1999

Robert Cabral, Chairman  
San Joaquin County Board of Supervisors  
222 E. Weber Ave.  
Stockton, CA 95202

Dear Chairman Cabral:

This letter is to notify the San Joaquin County Board of Supervisors of our application to CALFED for funding the Mokelumne and Cosumnes Rivers Coordination Program. The San Joaquin Council of Governments, in cooperation with the San Joaquin County, Lower Cosumnes, Florin and Sloughhouse Resource Conservation Districts has requested a grant of \$354,238 over a three-year period to develop and implement the program.

The Mokelumne and Cosumnes Rivers Coordination Program will be created to support communication, partnership, and integration of the numerous ongoing and proposed land, water, and ecological resource projects in the East Delta Habitat Corridor (as defined in the CALFED Revised Draft Strategic Plan for Ecosystem Restoration). The Program will function as a coordinator and information clearinghouse for the previously listed projects as well as any unforeseen projects in the area. It will provide facilities, facilitators, database managers, and coordinators to all projects presently underway including the Woodbridge Irrigation District and City of Lodi Lower Mokelumne River Restoration Project; The Cosumnes River Task Force; the Lower Mokelumne River Joint Settlement Agreement among the U.S. Fish and Wildlife Service, California Department of Fish and Game and East Bay Municipal Utility District; the U.S. Army Corps of Engineers feasibility studies on the lower Mokelumne and Cosumnes Rivers; the San Joaquin County RCD's Lower Mokelumne River Stewardship Program; the Cosumnes Consortium Research and Monitoring Program; and the San Joaquin County Habitat Conservation Plan, as well as any future projects. The coordination program will manage a stakeholder database, distribute technical and non-technical information, provide calendars of events (including environmental compliance activities) to all stakeholders, issue newsletters, manage a program webpage, report to CALFED and other appropriate entities of watershed-related activities.

Although this requires no Board action, we hope that the Board will support the program, the sole purpose of which is to ensure that coordination is established and maintained for the numerous ongoing projects in the East Delta and that subsequent system-wide ecosystem benefits are realized. Thank you.

Sincerely,

  
JULIA E. GREENE  
Executive Director

Cc: John Pulver  
San Joaquin County Water Resources Coordinator

**FIGURE 12**



## San Joaquin County Council of Governments

Member Agencies: Cities of Escalon, Lathrop, Lodi, Manteca, Ripon, Stockton, Tracy, County of San Joaquin

April 14, 1999

Don Nottoli  
Sacramento County Board of Supervisors  
700 H St.  
Sacramento, CA 95814

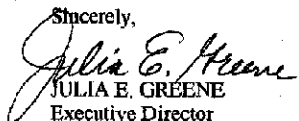
Dear Supervisor Nottoli:

This letter is to notify the Sacramento County Board of Supervisors of our application to CALFED for funding the Mokelumne and Cosumnes Rivers Coordination Program. The San Joaquin Council of Governments, in cooperation with the San Joaquin County, Lower Cosumnes, Florin and Sloughhouse Resource Conservation Districts has requested a grant of \$354,238 over a three-year period to develop and implement the program.

The Mokelumne and Cosumnes Rivers Coordination Program will be created to support communication, partnership, and integration of the numerous ongoing and proposed land, water, and ecological resource projects in the East Delta Habitat Corridor (as defined in the CALFED Revised Draft Strategic Plan for Ecosystem Restoration). The Program will function as a coordinator and information clearinghouse for the previously listed projects as well as any unforeseen projects in the area. It will provide facilities, facilitators, database managers, and coordinators to all projects presently underway including the Woodbridge Irrigation District and City of Lodi Lower Mokelumne River Restoration Project; The Cosumnes River Task Force; the Lower Mokelumne River Joint Settlement Agreement among the U.S. Fish and Wildlife Service, California Department of Fish and Game and East Bay Municipal Utility District; the U.S. Army Corps of Engineers feasibility studies on the lower Mokelumne and Cosumnes Rivers; the San Joaquin County RCD's Lower Mokelumne River Stewardship Program; the Cosumnes Consortium Research and Monitoring Program; and the San Joaquin County Habitat Conservation Plan, as well as any future projects. The coordination program will manage a stakeholder database, distribute technical and non-technical information, provide calendars of events (including environmental compliance activities) to all stakeholders, issue newsletters, manage a program webpage, report to CALFED and other appropriate entities of watershed-related activities.

Although this requires no Board action, we hope that the Board will support the program, the sole purpose of which is to ensure that coordination is established and maintained for the numerous ongoing projects in the East Delta and that subsequent system-wide ecosystem benefits are realized. Thank you.

Sincerely,

  
JULIA E. GREENE  
Executive Director

**FIGURE 13**



## San Joaquin County Council of Governments

Member Agencies: Cities of Escalon, Lathrop, Lodi, Manteca, Ripon, Stockton, Tracy, County of San Joaquin

April 14, 1999

Margit Aramburu  
Executive Director  
Delta Protection Commission  
P.O. Box 530  
Walnut Grove, CA 95690

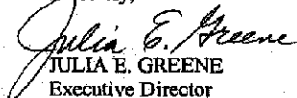
Dear Ms. Aramburu:

This letter is to notify the Delta Protection Commission of our application to CALFED for funding the Mokelumne and Cosumnes Rivers Coordination Program. The San Joaquin Council of Governments, in cooperation with the San Joaquin County, Lower Cosumnes, Florin and Sloughhouse Resource Conservation Districts has requested a grant of \$217,480 over a three-year period to develop and implement the program.

The Mokelumne and Cosumnes Rivers Coordination Program will be created to support communication, partnership, and integration of the numerous ongoing and proposed land, water, and ecological resource projects in the East Delta Habitat Corridor (as defined in the CALFED Revised Draft Strategic Plan for Ecosystem Restoration). The Program will function as a coordinator and information clearinghouse for the previously listed projects as well as any unforeseen projects in the area. It will provide facilities, facilitators, database managers, and coordinators to all projects presently underway including the Woodbridge Irrigation District and City of Lodi Lower Mokelumne River Restoration Project; The Cosumnes River Task Force; the Lower Mokelumne River Joint Settlement Agreement among the U.S. Fish and Wildlife Service, California Department of Fish and Game and East Bay Municipal Utility District; the U.S. Army Corps of Engineers feasibility studies on the lower Mokelumne and Cosumnes Rivers; the San Joaquin County RCD's Lower Mokelumne River Stewardship Program; the Cosumnes Consortium Research and Monitoring Program; and the San Joaquin County Habitat Conservation Plan, as well as any future projects. The coordination program will manage a stakeholder database, distribute technical and non-technical information, provide calendars of events (including environmental compliance activities) to all stakeholders, issue newsletters, manage a program webpage, report to CALFED and other appropriate entities of watershed-related activities.

We hope that the Commission will support the program, the sole purpose of which is to ensure that coordination is established and maintained for the numerous ongoing projects in the East Delta and that subsequent system-wide ecosystem benefits are realized. Thank you.

Sincerely,

  
JULIA E. GREENE  
Executive Director



**REQUIRED FORMS**

# INSTRUCTIONS FOR THE SF-424

Public reporting burden for this collection of information is estimated to average 45 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0043), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

This is a standard form used by applicants as a required facesheet for preapplications and applications submitted for Federal assistance. It will be used by Federal agencies to obtain applicant certification that States which have established a review and comment procedure in response to Executive Order 12372 and have selected the program to be included in their process, have been given an opportunity to review the applicant's submission.

- | Item: | Entry:  | Item: | Entry:   |
|-------|---|-------|--|
| 1.    | Self-explanatory.   | 12.   | List only the largest political entities affected (e.g., State, counties, cities).   |
| 2.    | Date application submitted to Federal agency (or State if applicable) and applicant's control number (if applicable).   | 13.   | Self-explanatory.  |
| 3.    | State use only (if applicable).   | 14.   | List the applicant's Congressional District and any District(s) affected by the program or project.  |
| 4.    | If this application is to continue or revise an existing award, enter present Federal identifier number. If for a new project, leave blank.   | 15.   | Amount requested or to be contributed during the first funding/budget period by each contributor. Value of in-kind contributions should be included on appropriate lines as applicable. If the action will result in a dollar change to an existing award, indicate <u>only</u> the amount of the change. For decreases, enclose the amounts in parentheses. If both basic and supplemental amounts are included, show breakdown on an attached sheet. For multiple program funding, use totals and show breakdown using same categories as item 15. |
| 5.    | Legal name of applicant, name of primary organizational unit which will undertake the assistance activity, complete address of the applicant, and name and telephone number of the person to contact on matters related to this application.  | 16.   | Applicants should contact the State Single Point of Contact (SPOC) for Federal Executive Order 12372 to determine whether the application is subject to the State inter-governmental review process.   |
| 6.    | Enter Employer Identification Number (EIN) as assigned by the Internal Revenue Service.   | 17.   | This question applies to the applicant organization, not the person who signs as the authorized representative. Categories of debt include delinquent audit disallowances, loans and taxes.  |
| 7.    | Enter the appropriate letter in the space provided.   | 18.   | To be signed by the authorized representative of the applicant. A copy of the governing body's authorization for you to sign this application as official representative must be on file in the applicant's office. (Certain Federal agencies may require that this authorization be submitted as part of the application.)  |
| 8.    | Check appropriate box and enter appropriate letter(s) in the space(s) provided:<br><br>-- "New" means a new assistance award.<br><br>-- "Continuation" means an extension for an additional funding/budget period for a project with a projected completion date.<br><br>-- "Revision" means any change in the Federal Government's financial obligation or contingent liability from an existing obligation. |       |  |
| 9.    | Name of Federal agency from which assistance is being requested with this application.  |       |  |
| 10.   | Use the Catalog of Federal Domestic Assistance number and title of the program under which assistance is requested.   |       |  |
| 11.   | Enter a brief descriptive title of the project. If more than one program is involved, you should append an explanation on a separate sheet. If appropriate (e.g., construction or real property projects), attach a map showing project location. For preapplications, use a separate sheet to provide a summary description of this project.   |       |  |

SF-424 (Rev. 7-97) Back

**BUDGET INFORMATION - Non-Construction Programs****SECTION A - BUDGET SUMMARY**

Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1. Coord. Prog.	—	\$ —	\$ —	\$ 217,480	\$ 10,000	\$ 227,480
2.						
3.						
4.						
5. Totals		\$ —	\$ —	\$ 217,480	\$ 10,000	\$ 227,480

**SECTION B - BUDGET CATEGORIES**

6. Object Class Categories	GRANT PROGRAM, FUNCTION OR ACTIVITY				Total (5)
	(1) Coord. Prog.	(2)	(3)	(4)	
a. Personnel	\$ 20,700	\$	\$	\$	\$ 20,700
b. Fringe Benefits					
c. Travel	750				
d. Equipment					
e. Supplies	3,600				
f. Contractual	192,430				
g. Construction					
h. Other					
i. Total Direct Charges (sum of 6a-6h)	227,480				
j. Indirect Charges					
k. TOTALS (sum of 6i and 6j)	\$	\$	\$	\$	\$
7. Program Income	\$ 227,480	\$	\$	\$	\$

# INSTRUCTIONS FOR THE SF 424A

Public reporting burden for this collection of information is estimated to average 180 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0044), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET, SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

## General Instructions

This form is designed so that application can be made for funds from one or more grant programs. In preparing the budget, adhere to any existing Federal grantor agency guidelines which prescribe how and whether budgeted amounts should be separately shown for different functions or activities within the program. For some programs, grantor agencies may require budgets to be separately shown by function or activity. For other programs, grantor agencies may require a breakdown by function or activity. Sections A, B, C, and D should include budget estimates for the whole project except when applying for assistance which requires Federal authorization in annual or other funding period increments. In the latter case, Sections A, B, C, and D should provide the budget for the first budget period (usually a year) and Section E should present the need for Federal assistance in the subsequent budget periods. All applications should contain a breakdown by the object class categories shown in Lines a-k of Section B.

### Section A. Budget Summary Lines 1-4 Columns (a) and (b)

For applications pertaining to a *single* Federal grant program (Federal Domestic Assistance Catalog number) and *not* requiring a functional or activity breakdown, enter on Line 1 under Column (a) the catalog program title and the catalog number in Column (b).

For applications pertaining to a *single* program requiring budget amounts by multiple functions or activities, enter the name of each activity or function on each line in Column (a), and enter the catalog number in Column (b). For applications pertaining to multiple programs where none of the programs require a breakdown by function or activity, enter the catalog program title on each line in Column (a) and the respective catalog number on each line in Column (b).

For applications pertaining to a *multiple* programs where one or more programs require a breakdown by function or activity, prepare a separate sheet for each program requiring the breakdown. Additional sheets should be used when one form does not provide adequate space for all breakdown of data required. However, when more than one sheet is used, the first page should provide the summary totals by programs.

### Lines 1-4, Columns (c) through (g)

For new applications, leave Columns (c) and (d) blank. For each line entry in Columns (a) and (b), enter in Columns (e), (f), and (g) the appropriate amounts of funds needed to support the project for the first funding period (usually a year).

For continuing grant program applications, submit these forms before the end of each funding period as required by the grantor agency. Enter in Columns (c) and (d) the estimated amounts of funds which will remain unobligated at the end of the grant funding period only if the Federal grantor agency instructions provide for this. Otherwise, leave these columns blank. Enter in Columns (e) and (f) the amounts of funds needed for the upcoming period. The amount(s) in Column (g) should be the sum of amounts in Columns (e) and (f).

For supplemental grants and changes to existing grants, do not use Columns (c) and (d). Enter in Column (e) the amount of the increase or decrease of Federal funds and enter in Column (f) the amount of the increase or decrease of non-Federal funds. In Column (g) enter the new total budgeted amount (Federal and non-Federal) which includes the total previous authorized budgeted amounts plus or minus, as appropriate, the amounts shown in Columns (e) and (f). The amount(s) in Column (g) should not equal the sum of amounts in Columns (e) and (f).

Line 5--Show the totals for all columns used.

### Section B. Budget Categories

In the column headings (1) through (4), enter the titles of the same programs, functions, and activities shown on Lines 1-4, Column (a), Section A. When additional sheets are prepared for Section A, provide similar column headings on each sheet. For each program, function or activity, fill in the total requirements for funds (both Federal and non-Federal) by object class categories.

Lines 6a-i--Show the totals of Lines 6a and 6h in each column.

Line 6j--Show the amount of indirect cost.

Line 6k--Enter the total of amounts on Lines 6i and 6j. For all applications for new grants and continuation grants the total amount in column (5), Line 6k, should be the same as the total amount shown in Section A, Column (g), Line 5. For supplemental grants and changes to grants, the total amount of the increase or decrease as shown in Columns (1)-(4), Line 6k should be the same as the sum of the amounts in Section A, Columns (e) and (f) on Line 5.

Line 7--Enter the estimated amount of income, if any, expected to be generated from this project. Do not add or subtract this amount from the total project amount. Show under the program.

SECTION C - NON-FEDERAL RESOURCES				
(a) Grant Program	(b) Applicant	(c) State	(d) Other Sources	(e) TOTALS
8. CALFED 1999 PSP Ecosystem Restoration	\$ 10,000	\$	\$	\$
9.				
10.				
11.				
12. TOTAL (sum of lines 8 - 11)	\$ 10,000	\$	\$	\$

SECTION D - FORECASTED CASH NEEDS					
	Total for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
13. Federal (CALFED)	\$ 97,250	\$ 24,313.00	\$ 24,312.00	\$ 24,312.00	\$ 24,313.00
14. NonFederal	5,000	1,250.00	1,250.00	1,250.00	1,250.00
15. TOTAL (sum of lines 13 and 14)	102,250	25,563.00	25,562.00	25,562.00	25,563.00

SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT				
(a) Grant Program	FUTURE FUNDING PERIODS (Years)			
	(b) First	(c) Second	(d) Third	(e) Fourth
16. CALFED 1999 Ecosystem Restoration	\$ 97,250	\$ 58,270	\$ 61,950	\$
17.				
18.				
19.				
20. TOTAL (sum of lines 16-19)	\$ 97,250	\$ 58,270	\$ 61,950	\$

SECTION F - OTHER BUDGET INFORMATION	
21. Direct Charges:	22. Indirect Charges:
23. Remarks:	

U.S. Department of the Interior

**Certifications Regarding Debarment, Suspension and  
Other Responsibility Matters, Drug-Free Workplace  
Requirements and Lobbying**

Persons signing this form should refer to the regulations referenced below for complete instructions:

Certification Regarding Debarment, Suspension, and Other Responsibility Matters - Primary Covered Transactions - The prospective primary participant further agrees by submitting this proposal that it will include the clause titled, "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transaction," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions. See below for language to be used; use this form for certification and sign; or use Department of the Interior Form 1954 (DI-1954). (See Appendix A of Subpart D of 43 CFR Part 12.)

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions - (See Appendix B of Subpart D of 43 CFR Part 12.)

Certification Regarding Drug-Free Workplace Requirements - Alternate I. (Grantees Other Than Individuals) and Alternate II. (Grantees Who are Individuals) - (See Appendix C of Subpart D of 43 CFR Part 12)

Signature on this form provides for compliance with certification requirements under 43 CFR Parts 12 and 16. The certifications shall be treated as a material representation of fact upon which reliance will be placed when the Department of the Interior determines to award the covered transaction, grant, cooperative agreement or loan.

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**PART A: Certification Regarding Debarment, Suspension, and Other Responsibility Matters -  
Primary Covered Transactions**

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*CHECK X IF THIS CERTIFICATION IS FOR A PRIMARY COVERED TRANSACTION AND IS APPLICABLE.*

- (1) The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:
- (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
  - (b) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
  - (c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and
  - (d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.
- (2) Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

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**PART B: Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion -  
Lower Tier Covered Transactions**

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*CHECK    IF THIS CERTIFICATION IS FOR A LOWER TIER COVERED TRANSACTION AND IS APPLICABLE.*

- (1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
- (2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

DI-2018  
March 1995  
(This form consolidates DI-1952, DI-1954,  
DI-1955, DI-1956 and DI-1960)

**PART C: Certification Regarding Drug-Free Workplace Requirements**

**CHECK ☒ IF THIS CERTIFICATION IS FOR AN APPLICANT WHO IS NOT AN INDIVIDUAL.**

**Alternate I. (Grantees Other Than Individuals)**

**A. The grantee certifies that it will or continue to provide a drug-free workplace by:**

- (a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
- (b) Establishing an ongoing drug-free awareness program to inform employees about--
  - (1) The dangers of drug abuse in the workplace;
  - (2) The grantee's policy of maintaining a drug-free workplace;
  - (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
  - (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
- (c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);
- (d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will --
  - (1) Abide by the terms of the statement; and
  - (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
- (e) Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification numbers(s) of each affected grant;
- (f) Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (d)(2), with respect to any employee who is so convicted --
  - (1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
  - (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
- (g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a) (b), (c), (d), (e) and (f).

**B. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:**

**Place of Performance (Street address, city, county, state, zip code):**

6 S. El Dorado Street, Suite 400, Stockton, CA 95202

Check ☐ if there are workplaces on file that are not identified here.

**PART D: Certification Regarding Drug-Free Workplace Requirements**

**CHECK ☒ IF THIS CERTIFICATION IS FOR AN APPLICANT WHO IS AN INDIVIDUAL.**

**Alternate II. (Grantees Who Are Individuals)**

- (a) The grantee certifies that, as a condition of the grant, he or she will not engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance in conducting any activity with the grant;
- (b) If convicted of a criminal drug offense resulting from a violation occurring during the conduct of any grant activity, he or she will report the conviction, in writing, within 10 calendar days of the conviction, to the grant officer or other designee, unless the Federal agency designates a central point for the receipt of such notices. When notice is made to such a central point, it shall include the identification number(s) of each affected grant.

**STANDARD CLAUSES -  
CONTRACTS WITH PUBLIC ENTITIES**

**Workers' Compensation Clause.** Contractor affirms that it is aware of the provisions of Section 3700 of the California Labor Code which require every employer to be insured against liability for workers' compensation or to undertake self-insurance in accordance with the provisions of that Code, and Contractor affirms that it will comply with such provisions before commencing the performance of the work under this contract.

**Nondiscrimination Clause.** During the performance of this contract, the recipient, Contractor and its subcontractors shall not deny the contract's benefits to any person on the basis of religion, color, ethnic group identification, sex, age, physical or mental disability, nor shall they discriminate unlawfully against any employee or applicant for employment because of race, religion, color, national origin, ancestry, physical handicap, mental disability, medical condition, marital status, age (over 40), or sex. Contractor shall insure that the evaluation and treatment of employees and applicants for employment are free of such discrimination. Contractor shall comply with the provisions of the Fair Employment and Housing Act (Government Code Section 12900 et seq.), the regulations promulgated thereunder (California Administrative Code, Title 2, Sections 7285.0 et seq.), the provisions of Article 9.5, Chapter 1, Part 1, Division 3, Title 2 of the Government Code (Government Code Sections 11135 - 11139.5), and the regulations or standards adopted by the awarding State agency to implement such article. Contractor or recipient shall permit access by representatives of the Department of Fair Employment and Housing and the awarding State agency upon reasonable notice at any time during the normal business hours, but in no case less than 24 hours' notice, to such of its books, records, accounts, other sources of information and its facilities as said Department or Agency shall require to ascertain compliance with this clause. Recipient, Contractor and its subcontractors shall give written notice of their obligations under this clause to labor organizations with which they have a collective bargaining or other agreement. The Contractor shall include the nondiscrimination and compliance provisions of this clause in all subcontracts to perform work under the contract.

**Availability of Funds.** Work to be performed under this contract is subject to availability of funds through the State's normal budget process.

**Audit Clause.** For contracts in excess of \$10,000, the contracting parties shall be subject to the examination and audit of the State Auditor for a period of three years after final payment under the contract. (Government Code Section 8546.7).

**Payment Retention Clause.** Ten percent of any progress payments that may be provided for under this contract shall be withheld per Public Contract Code Sections 10346 and 10379 pending satisfactory completion of all services under the contract.

**Reimbursement Clause.** If applicable, travel and per diem expenses to be reimbursed under this contract shall be at the same rates the State provides for unrepresented employees in accordance with the provisions of Title 2, Chapter 3, of the California Code of Regulations. Contractor's designated headquarters for the purpose of computing such expenses shall be: Sacramento.

**Drug-Free Workplace Certification.** By signing this contract, the Contractor or grantee hereby certifies under penalty of perjury under the laws of the State of California that the Contractor or grantee will comply with the requirements of the Drug-Free Workplace Act of 1990 (Government Code Section 8350 et seq.) and will provide a drug-free workplace by taking the following actions:

1. Publish a statement notifying employees that unlawful manufacture, distribution, dispensation, possession, or use of a controlled substance is prohibited and specifying actions to be taken against employees for violations.
2. Establish a Drug-Free Awareness Program to inform employees about all of the following:
  - (a) The dangers of drug abuse in the workplace,
  - (b) The person's or organization's policy of maintaining a drug-free workplace,
  - (c) Any available counseling, rehabilitation and employee assistance programs, and
  - (d) Penalties that may be imposed upon employees for drug abuse violations.
3. Every employee who works on the proposed contract or grant:
  - (a) Will receive a copy of the company's drug-free policy statement, and
  - (b) Will agree to abide by terms of the company's statement as a condition of employment on the contract or grant.

This contract or grant may be subject to suspension of payments or termination, or both, and the Contractor or grantee may be subject to debarment if the department determines that: (1) the Contractor or grantee has made a false certification, or (2) the Contractor or grantee violates the certification by failing to carry out the requirements noted above.

**Americans With Disabilities Act.** By signing this contract, Contractor assures the State that it complies with the Americans With Disabilities Act (ADA) of 1990, (42 U.S.C. 12101 et seq.), which prohibits discrimination on the basis of disability, as well as all applicable regulations and guidelines issued pursuant to the ADA.

**Former State Employees:** a) For the two-year period from the date he or she left State employment, no former State officer or employee may enter into a contract in which he or she engaged in any of the negotiations, transactions, planning, arrangements or any part of the decision-making process relevant to the contract while employed in any capacity by any State agency. b) For the twelve-month period from the date he or she left State employment, no former State officer or employee may enter into a contract with any State agency if he or she was employed by that State agency in a policy-making position in the same general subject area as the proposed contract within the twelve-month period prior to his or her leaving State service.



**STANDARD CLAUSES -  
SERVICE & CONSULTANT SERVICE CONTRACTS FOR \$5,000 & OVER WITH NONPUBLIC ENTITIES**

**Workers' Compensation Clause.** Contractor affirms that it is aware of the provisions of Section 3700 of the California Labor Code which require every employer to be insured against liability for workers' compensation or to undertake self insurance in accordance with the provisions of that Code, and Contractor affirms that it will comply with such provisions before commencing the performance of the work under this contract.

**National Labor Relations Board Clause.** In accordance with Public Contract Code Section 10296, Contractor declares under penalty of perjury that no more than one final, unappealable finding of contempt of court by a federal court has been issued against the Contractor within the immediately preceding two-year period because of Contractor's failure to comply with an order of a federal court which orders Contractor to comply with an order of the national Labor Relations Board.

**Nondiscrimination Clause.** During the performance of this contract, the recipient, Contractor and its subcontractors shall not deny the contract's benefits to any person on the basis of religion, color, ethnic group identification, sex, age, physical or mental disability, nor shall they discriminate unlawfully against any employee or applicant for employment because of race, religion, color, national origin, ancestry, physical handicap, mental disability, medical condition, marital status, age (over 40), or sex. Contractor shall insure that the evaluation and treatment of employees and applicants for employment are free of such discrimination. Contractor shall comply with the provisions of the Fair Employment and Housing Act (Government Code Section 12900 et seq.), the regulations promulgated thereunder (California Administrative Code, Title 2, Sections 7285.0 et seq.), the provisions of Article 9.5, Chapter 1, Part 1, Division 3, Title 2 of the Government Code (Government Code Sections 11135 - 11139.5), and the regulations or standards adopted by the awarding State agency to implement such article. Contractor or recipient shall permit access by representatives of the Department of Fair Employment and Housing and the awarding State agency upon reasonable notice at any time during the normal business hours, but in no case less than 24 hours' notice, to such of its books, records, accounts, other sources of information and its facilities as said Department or Agency shall require to ascertain compliance with this clause. Recipient, Contractor and its subcontractors shall give written notice of their obligations under this clause to labor organizations with which they have a collective bargaining or other agreement. The Contractor shall include the nondiscrimination and compliance provisions of this clause in all subcontracts to perform work under the contract.

**Statement of Compliance.** The Contractor's signature affixed hereon and dated shall constitute a certification under penalty of perjury under the laws of the State of California that the Contractor has, unless exempted, complied with the nondiscrimination program requirements of Government Code Section 12900 and Title 2, California Code of Regulations, Section 8103.

**Performance Evaluation.** For consulting service agreements, Contractor's performance under this contract will be evaluated after completion. A negative evaluation will be filed with the Department of General Services.

**Availability of Funds.** Work to be performed under this contract is subject to availability of funds through the State's normal budget process.

**Audit Clause.** For contracts in excess of \$10,000, the contracting parties shall be subject to the examination and audit of the State Auditor for a period of three years after final payment under the contract. (Government Code Section 8546.7).

**Payment Retention Clause.** Ten percent of any progress payments that may be provided for under this contract shall be withheld per Public Contract Code Sections 10346 and 10379 pending satisfactory completion of all services under the contract.

**Reimbursement Clause.** If applicable, travel and per diem expenses to be reimbursed under this contract shall be at the same rates the State provides for unrepresented employees in accordance with the provisions of Title 2, Chapter 3, of the California Code of Regulations. Contractor's designated headquarters for the purpose of computing such expenses shall be: \_\_\_\_\_

**Disabled Veteran Business Enterprise Participation Requirement Audit Clause.** Contractor or vendor agrees that the awarding department or its delegates will have the right to review, obtain, and copy all records pertaining to performance of the contract. Contractor or vendor agrees to provide the awarding department or its delegatee access to its premises, upon reasonable notice, during normal business hours for the purpose of interviewing employees and inspecting and copying such books, records, accounts, and other material that may be relevant to a matter under investigation for the purpose of determining compliance with Public Contract Code Section 10115 et seq. Contractor or vendor further agrees to maintain such records for a period of three (3) years after final payment under the contract. Title 2 CCR Section 1896.75.

**Priority Hiring Considerations.** For contracts in excess of \$200,000, the Contractor shall give priority consideration in filling vacancies in positions funded by the contract to qualified recipients of aid under Welfare and Institutions Code Section 11200. (Public Contract Code Section 10353).

Agreement No. \_\_\_\_\_

Exhibit 2**ADDITIONAL STANDARD CLAUSES**

**Recycled Materials.** Contractor hereby certifies under penalty of perjury that 0 (enter value or "0" here) percent of the materials, goods and supplies offered or products used in the performance of this Agreement meets or exceeds the minimum percentage of recycled material as defined in Sections 12161 and 12200 of the Public Contract Code.

**Severability.** If any provision of this Agreement is held invalid or unenforceable by any court of final jurisdiction, it is the intent of the parties that all other provisions of this Agreement be construed to remain fully valid, enforceable, and binding on the parties.

**Governing Law.** This Agreement is governed by and shall be interpreted in accordance with the laws of the State of California.

**Y2K Language.** The Contractor warrants and represents that the goods or services sold, leased, or licensed to the State of California, its agencies, or its political subdivisions, pursuant to this Agreement are "Year 2000 compliant." For purposes of this Agreement a good or service is Year 2000 compliant if it will continue to fully function before, at, and after the Year 2000 without interruption and, if applicable, with full ability to accurately and unambiguously process, display, compare, calculate, manipulate, and otherwise utilize date information. This warranty and representation supersedes all warranty disclaimers and limitations and all limitations on liability provided by or through the Contractor.

**Child Support Compliance Act.** For any Agreement in excess of \$100,000, the Contractor acknowledges in accordance therewith, that:

1. The Contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with Section 5200) of Part 5 of Division 9 of the Family Code; and
2. The Contractor, to the best of its knowledge, is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the California Employment Development Department.

**Drug-Free Workplace Certification.** By signing this contract, the Contractor or grantee hereby certifies under penalty of perjury under the laws of the State of California that the Contractor or grantee will comply with the requirements of the Drug-Free Workplace Act of 1990 (Government Code Section 8350 et seq.) and will provide a drug-free workplace by taking the following actions:

1. Publish a statement notifying employees that unlawful manufacture, distribution, dispensation, possession, or use of a controlled substance is prohibited and specifying actions to be taken against employees for violations.
2. Establish a Drug-Free Awareness Program to inform employees about all of the following:
  - (a) The dangers of drug abuse in the workplace,
  - (b) The person's or organization's policy of maintaining a drug-free workplace,
  - (c) Any available counseling, rehabilitation and employee assistance programs, and
  - (d) Penalties that may be imposed upon employees for drug abuse violations.
3. Every employee who works on the proposed contract or grant:
  - (a) Will receive a copy of the company's drug-free policy statement, and
  - (b) Will agree to abide by terms of the company's statement as a condition of employment on the contract or grant.

This contract or grant may be subject to suspension of payments or termination, or both, and the Contractor or grantee may be subject to debarment if the department determines that: (1) the Contractor or grantee has made a false certification, or (2) the Contractor or grantee violates the certification by failing to carry out the requirements noted above.

**Antitrust Claims.** In submitting a bid to a public purchasing body, the bidder offers and agrees that if the bid is accepted, it will assign to the purchasing body all rights, title, and interest in and to all causes of action it may have under Section 4 of the Clayton Act (15 U.S.C. Sec. 15) or under the Cartwright Act (Chapter 2 (commencing with Section 16700) Part 2 of Division 7 of the Business and Professions Code), arising from purchases of goods, materials, or services by the bidder for sale to the purchasing body pursuant to the bid. Such assignment shall be made and become effective at the time the purchasing body tenders final payment to the bidder. See Government Code Section 4552.

If an awarding body or public purchasing body received, either through judgment or settlement, a monetary recovery for a cause of action assigned under this chapter, the assignor shall be entitled to receive reimbursement for actual legal costs incurred and may, upon demand, recover from the public body any portion of the recovery, including treble damages, attributable to overcharges that were paid by the assignor but were not paid by the public body as part of the bid price, less the expenses incurred in obtaining that portion of the recovery. See Government Code Section 4553.

Upon demand in writing by the assignor, the assignee shall, within one year from such demand, reassign the cause of action assigned under this part if the assignor has been or may have been injured by the violation of law for which the cause of action arose and (a) the assignee has not been injured thereby, or (b) the assignee declines to file a court action for the cause of action. See Government Code Section 4554.

**Americans With Disabilities Act.** By signing this contract, Contractor assures the state that it complies with the Americans With Disabilities Act (ADA) of 1990, (42 U.S.C. 12101 et seq.), which prohibits discrimination on the basis of disability, as well as all applicable regulations and guidelines issued pursuant to the ADA.

**Corporate Qualifications To Do Business in California.** Contractor must be currently qualified to do business in California as defined by the Revenue & Taxation Code, Section 23101 unless exempted. Both domestic and foreign corporations (those incorporated outside of California) must be in good standing in order to be qualified to do business in California.

**Former State Employees:** a) For the two-year period from the date he or she left State employment, no former State officer or employee may enter into a contract in which he or she engaged in any of the negotiations, transactions, planning, arrangements or any part of the decision-making process relevant to the contract while employed in any capacity by any State agency. b) For the twelve-month period from the date he or she left State employment, no former State officer or employee may enter into a contract with any State agency if he or she was employed by that State agency in a policy-making position in the same general subject area as the proposed contract within the twelve-month period prior to his or her leaving State service.

**APPLICATION FOR  
FEDERAL ASSISTANCE**

OMB Approval No. 0348-0043

<b>1. TYPE OF SUBMISSION:</b> Application <input type="checkbox"/> Construction <input checked="" type="checkbox"/> Non-Construction Preapplication <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction		<b>2. DATE SUBMITTED</b> 4/16/99	Applicant Identifier  State Application Identifier  Federal Identifier																					
<b>5. APPLICANT INFORMATION</b>																								
Legal Name: <b>San Joaquin County Council of Governments</b> Address (give city, county, State, and zip code): 6 S. El Dorado Street, Suite 400 Stockton, CA 95202		Organizational Unit:  Name and telephone number of person to be contacted on matters involving this application (give area code): <b>Suzanne Ise/Julia E. Greene</b> <b>(209) 468-3913</b>																						
<b>6. EMPLOYER IDENTIFICATION NUMBER (EIN):</b> 68 036 2091		<b>7. TYPE OF APPLICANT: (enter appropriate letter in box)</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;">           A. State            B. County            C. Municipal            D. Township            E. Interstate            F. Intermunicipal            G. Special District         </div> <div style="width: 45%;">           H. Independent School Dist.            I. State Controlled Institution of Higher Learning            J. Private University            K. Indian Tribe            L. Individual            M. Profit Organization            N. Other (Specify) <u>JPA</u> </div> </div>																						
<b>8. TYPE OF APPLICATION:</b> <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es) <span style="border: 1px solid black; padding: 2px;">  </span> <span style="border: 1px solid black; padding: 2px;">  </span> A. Increase Award    B. Decrease Award    C. Increase Duration D. Decrease Duration    Other (specify): _____		<b>9. NAME OF FEDERAL AGENCY:</b> CALFED Agencies																						
<b>10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER:</b> <div style="text-align: center;"> <span style="border: 1px solid black; padding: 2px;">  </span> - <span style="border: 1px solid black; padding: 2px;">  </span> <span style="border: 1px solid black; padding: 2px;">  </span> <span style="border: 1px solid black; padding: 2px;">  </span> </div> TITLE: <b>CALFED 1999 Ecosystem Restoration</b>		<b>11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT:</b> Ecosystem Restoration Projects and Programs  Mokelumne and Cosumnes Rivers Coordination Program																						
<b>12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.):</b> Sacramento & San Joaquin Counties																								
<b>13. PROPOSED PROJECT</b> Coord. Prog. Start Date: 7/99    Ending Date: 7/02		<b>14. CONGRESSIONAL DISTRICTS OF:</b> San Joaquin 11th    Sacramento 5th a. Applicant: San Joaquin County Council of Governments																						
<b>15. ESTIMATED FUNDING:</b> <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:30%;">a. Federal</td> <td style="width:10%;">\$</td> <td style="width:60%; text-align: right;">.00</td> </tr> <tr> <td>b. Applicant</td> <td>\$</td> <td style="text-align: right;">10,000</td> </tr> <tr> <td>c. State</td> <td>\$</td> <td style="text-align: right;">217,480</td> </tr> <tr> <td>d. Local</td> <td>\$</td> <td style="text-align: right;">.00</td> </tr> <tr> <td>e. Other</td> <td>\$</td> <td style="text-align: right;">.00</td> </tr> <tr> <td>f. Program Income</td> <td>\$</td> <td style="text-align: right;">.00</td> </tr> <tr> <td>g. TOTAL</td> <td>\$</td> <td style="text-align: right;">227,480.00</td> </tr> </table>		a. Federal	\$	.00	b. Applicant	\$	10,000	c. State	\$	217,480	d. Local	\$	.00	e. Other	\$	.00	f. Program Income	\$	.00	g. TOTAL	\$	227,480.00	<b>16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?</b>  a. YES. THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON:  DATE: _____  b. No. <input type="checkbox"/> PROGRAM IS NOT COVERED BY E. O. 12372 <input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW	
a. Federal	\$	.00																						
b. Applicant	\$	10,000																						
c. State	\$	217,480																						
d. Local	\$	.00																						
e. Other	\$	.00																						
f. Program Income	\$	.00																						
g. TOTAL	\$	227,480.00																						
		<b>17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?</b> <input type="checkbox"/> Yes    If "Yes," attach an explanation. <input type="checkbox"/> No																						
<b>18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT, THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.</b>																								
a. Type Name of Authorized Representative <b>Julia E. Greene</b>		b. Title <b>Executive Director</b>																						
c. Signature of Authorized Representative <i>Julia E. Greene</i>		c. Telephone Number <b>(209) 468-3913</b>																						
		d. Date Signed <b>April 16, 1999</b>																						

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Standard Form 424 (Rev. 7-97)  
Prescribed by OMB Circular A-102

I - 0 1 8 2 9 0

I-018290

**PART E: Certification Regarding Lobbying**  
**Certification for Contracts, Grants, Loans, and Cooperative Agreements**

CHECK IF CERTIFICATION IS FOR THE AWARD OF ANY OF THE FOLLOWING AND  
THE AMOUNT EXCEEDS \$100,000: A FEDERAL GRANT OR COOPERATIVE AGREEMENT;  
SUBCONTRACT, OR SUBGRANT UNDER THE GRANT OR COOPERATIVE AGREEMENT.

CHECK IF CERTIFICATION IS FOR THE AWARD OF A FEDERAL  
LOAN EXCEEDING THE AMOUNT OF \$150,000, OR A SUBGRANT OR  
SUBCONTRACT EXCEEDING \$100,000, UNDER THE LOAN.

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, and officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

As the authorized certifying official, I hereby certify that the above specified certifications are true.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL

*Julia E. Greene*

TYPED NAME AND TITLE JULIA E. GREENE, Executive Director

DATE April 16, 1999

**ASSURANCES - NON-CONSTRUCTION PROGRAMS**

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET.  
SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

INSTRUCTIONS FOR THE SF 424A (continued)

narrative statement the nature and source of income. The estimated amount of program income may be considered by the federal grantor agency in determining the total amount of the grant.

**Section C. Non-Federal Resources**

**Lines 8-11**--Enter amounts of non-Federal resources that will be used on the grant. If in-kind contributions are included, provide a brief explanation on a separate sheet.

**Column (a)** - Enter the program titles identical to Column (a), Section A. A breakdown by function or activity is not necessary.

**Column (b)** - Enter the contribution to be made by the applicant.

**Column (c)** - Enter the amount of the State's cash and in-kind contribution if the applicant is not a State or State agency. Applicants which are a State or State agencies should leave this column blank.

**Column (d)** - Enter the amount of cash and in-kind contributions to be made from all other sources.

**Column (e)** - Enter totals of Columns (b), (c), and (d).

**Line 12**--Enter the total for each of Columns (b)-(e). The amount in Column (e) should be equal to the amount on Line 5, Column (f) Section A.

**Section D. Forecasted Cash Needs**

**Line 13**--Enter the amount of cash needed by quarter from the grantor agency during the first year.

**Line 14**--Enter the amount of cash from all other sources needed by quarter during the first year.

**Line 15**--Enter the totals of amounts on Lines 13 and 14.

**Section E. Budget Estimates of Federal Funds Needed for Balance of the Project.**

**Lines 16-19**--Enter in Column (a) the same grant program titles shown in Column (a), Section A. A breakdown by function or activity is not necessary. For new applications and continuation grant applications, enter in the proper columns amounts of Federal funds which will be needed to complete the program or project over the succeeding funding period (usually in years). This section need not be completed for revisions (amendments, changes, or supplements) to funds for the current year of existing grants.

If more than four lines are needed to list the program titles, submit additional schedules as necessary.

**Line 20**--Enter the total for each of the Columns (b)-(e). When additional schedules are prepared for this Section, annotate accordingly and show the overall totals on this line.

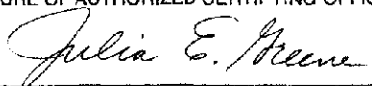
**Section F. Other Budget Information**

**Line 21**--Use this space to explain amounts for individual direct object-class cost categories that may appear to be out of the ordinary or to explain the details as required by the Federal grantor agency.

**Line 22**--Enter the type of indirect rate (provisional, predetermined, final or fixed) that will be in effect during the funding period, the estimated amount of the base to which the rate is applied, and the total indirect expense.

**Line 23**--Provide any other explanations or comments deemed necessary.

9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1908 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 		TITLE Executive Director
APPLICANT ORGANIZATION San Joaquin County Council of Governments		DATE SUBMITTED 4/16/99

Standard Form 424B (Rev. 7-97) Back